

# Instructions for Change of Account Ownership—Individual/ Joint/UTMA/UGMA

### **Existing Account Owners**

The owner(s) of the existing account will complete Step 1 on the following pages. Since the ownership of the account will be changing, a medallion signature guarantee or a signature guarantee is required for each existing account owner's signature to verify his/her identity. A certified death certificate is not needed for a deceased owner if a medallion signature guarantee(s) is obtained by a legal representative (executor, successor trustee, etc.); the date of death for the owner must be provided on the form.

Neither a medallion signature guarantee nor a signature guarantee is required when the surviving owner(s) of a joint account with rights of survivorship are re-registering the account into their name(s) after the death of one or more of the existing account owners. In this specific scenario, however, a certified death certificate for each deceased owner is needed.

You must return any outstanding certificates of shares before we can complete your transfer request.

Please note, if you will remain an owner upon re-registration of the account, you must sign as an existing account owner AND as a new account owner.

#### **New Account Owners**

The new account owner(s) will complete the remainder of the form to identify how the new account should be registered and to establish any features/services for the new account. Since the features and services on the existing account will NOT automatically transfer to the new account, this form can be used to re-elect those features.



# Change of Account Ownership—Individual/Joint/ UTMA/UGMA

Use this form to re-register part or all of the shares in a DWS fund non-retirement account into a new or existing DWS fund Individual/Joint/UTMA/UGMA account. Only a U.S. citizen or a resident alien with a valid Social Security number and U.S. residential address may open a new account with this form.

If you are re-registering to a Trust/Estate/Sole Prop, etc. account, use the Change of Account Ownership—Trust/Estate/Sole Prop form.

If you are re-registering to a S Corp/C Corp/LLC, etc. account, use Change of Account Ownership—S Corp/C Corp/LLC form.

If you wish to re-register a retirement account, or need assistance in completing this form, please contact Shareholder Services at (800)728-3337 or e-mail: service@dws.com.

### Paperless Options

DWS is pleased to offer electronic delivery of fund and account documents. You can receive your DWS Fund account statements, transaction confirmations, tax forms, fund prospectuses, updates, annual and semi-annual reports electronically. Once you receive your New Account Confirmation you can register at www.dws.com or by downloading the DWS Direct USA mobile app (available for both iOS and Android) using your new account number. Once you consent, an e-mail will be sent notifying you when new fund or account materials are available for viewing online. Please note that no confidential information will be sent via e-mail. Keep in mind that shareholders who adopt electronic delivery are not subject to the annual maintenance fee of \$20 per fund account.

Existing account owners		
Step 1 Existing account information (*Indicates	required fields)	
Any individual completing this section must also s	ign and have their signature guaranteed	I in Step 7.
* DWS Fund Account Number (We will update all funds held under this account number)		
* Existing Owner's Name	Date of Death (if applicable) — MM/DD/YYYY	* O Social Security Number OR O Tax ID
* Existing Owner's Name (if any)	Date of Death (if applicable) — MM/DD/YYYY	Daytime Phone Number Extension
Relationship of Joint owners:   Spouse   Ot	her	

### Reason for Transfer<sup>1</sup>

On October 3, 2008, the Emergency Economic Stabilization Act, HR1424, was signed into law, which included provisions from the Energy Improvement and Extension Act of 2008, requiring mutual funds to provide cost basis reporting to their customers.

To ensure accurate cost basis reporting, indicate the type of transfer requested and provide transfer details where applicable.

☐ Re-registration (ownership change,	divorce <sup>2</sup> /s	separation <sup>3</sup>						
☐ Death (inheritance)³								
Date of Death: Alt	ternate Death	n: MM/DD/YYYY	OR Alternate \	/alue:	\$		(optional)4	
☐ Gift⁴								
Date of Gift:  MM/DD/YYYY								
Transfer To								
☐ New Account owner (must comple	te remaind	der of form)						
☐ Existing Account Number		(Owner(s) must co	omplete Step 7	) of t	his form.			
Important—If ALL shares are selected, the not continue to use checks. In order to restep 4 must complete the Checkwriting checks will be issued reflecting the new	e-establish form in ad	the checkwriting featur dition to this Change of	e on the new a Ownership forr	ccoui n. Or	nt, the new own nce we receive th	er(s) de nese fo	esignated i rms, new	in
Transfer Amount(s)								
If no amount is indicated, all shares w	ill be trans	ferred.						
Fund Name, Number, or NASDAQ Symbol	_ All	Partial (select amount)	Share Quantity	OR	\$ Dollar Amount	OR	Percentage	% e
Fund Name, Number, or NASDAQ Symbol	_ All	Partial (select amount)	Share Quantity		\$	_	Parcontage	%
runu marne, murnber, or masuau symbol			Share Quantity		Φ.		Percentage	
Fund Name, Number, or NASDAQ Symbol	_ L All	Partial (select amount)	Share Quantity	OR	\$ Dollar Amount	OR	Percentage	% e

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If a reason is not provided this transfer will be defaulted to a transfer due to gift.
 Please include a copy of the divorce decree and/or marriage settlement agreement certified within 60 days.
 Re-registrations and Death (inheritance) transfers will proportionately deplete gains and losses unless provided alternate instructions below.
 If Gift is selected as a Reason for Transfer the account owner receiving the shares must acknowledge whether they will accept the shares valued at fair market value of the date of gift or the settlement date if shares should be transferred at a loss.

Step 1

Existing account information (continued)

# Cost Basis Information for Partial Transfer Only of Current Account (Optional)

Consult your tax advisor for more details before completing this section.

If you are transferring all shares from the account listed above, or if you are transferring shares purchased prior to January 1, 2012, you do not need to complete this section.

Your current cost basis method will be used to deplete shares for partial transfers unless you specify the shares to be transferred below. If your current method is Average Cost, then shares will be depleted using First-In, First-Out (FIFO).

If the share amount(s) you provide below do not equal the total amount of shares previously indicated in the Transfer Amounts Section, your current cost basis method will be utilized to complete this transfer.

Date of Purchase: MM/DD/YYYY	Number of Shares	5:		
Date of Purchase:  MM/DD/YYYY	Number of Shares	S:		
Date of Purchase: MM/DD/YYYY	Number of Shares	S:		
Step 2 Legal documentation				
For the account types listed below, please provide to require additional documents on future transaction. Joint Accounts: Divorce Decree dated within 60	ctions. Please n	ote this is not an all inclusive		
New account owners	, , , , , ,	,		
New account owners				
Step 3   Financial advisor for new account				
Note: Class A and C shares are designed for i advisor, sales charges and fees (if applicable) and distributor.				
Name of Firm	Firm Numbe	er		
Branch Address	City		State	Zip
Branch Number	() Advisor's Ph	none Number	Extension	
Advisor's Name	Advisor's Ide	entification Number (if applicable)		
E-mail Address				

#### Sten 4

#### Important information about procedures for opening a DWS fund account:

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account or person(s) authorized to effect transactions in an account. When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. Some or all of this information will be used to verify the identity of all persons opening an account.

Please select only one type of registration below. (\*Indicates required field)

Individual or Joint Account			
☐ Individual Account ☐ Joint Account			
For joint accounts, the Social Security number of the primary	account owner will be used for	or IRS reporting.	
* Primary Account Owner's Name	* Social Security Number	* Date of Birth	n – MM/DD/YYYY
* U.S. Residential Address (P.O. Box not acceptable)	* City	* State	* Zip
* Mailing Address (if different)	* City	* State	* Zip
* Select one: U.S. Citizen Resident Alien If resident	E-mail Address	of citizenship:	
* Second Joint Owner's Name (if any)	* Social Security Number	* Date of Birth	n – MM/DD/YYYY
* U.S. Residential Address (P.O. Box not acceptable)	* City	* State	* Zip
* Select one:  U.S. Citizen  Resident Alien If resident	nt alien, please provide country	of citizenship:	

Please attach a separate sheet with the above information for each additional owner.

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# Uniform Gifts to Minors Act (UGMA) or Uniform Transfers to Minors Act (UTMA) Account

If the Minor's Social Security number has been applied for, but not yet received, please include a copy of the Social Security card application (Form-SS5). Unless you indicate otherwise, the account will follow the UGMA/UTMA rules for the minor's state.

* Minor's Name		* Minor's Social Security Number	* Minor's Da	
* Minor's U.S. Residential Address (P.O. I	Зох not acceptable)	* City	* State	* Zip
* Custodian's Name		* Custodian's Social Security Number	* Custodian's MM/DD/YY	Date of Birth – YY
* Custodian's U.S. Residential Address (P	?O. Box not acceptable)	* City	* State	* Zip
* Custodian's Mailing Address (if differen	t)	* City	* State	* Zip
( ) Daytime Phone Number of Custodian	Extension	E-mail Address of Custodian		
Custodian's relationship to mind	or: Darent Dother			
If Other box is selected, please s	sign in capacity as indicat	red.		
* Select one: U.S. Citizen	Resident Alien If resident	ident alien, please provide country of c	itizenship:	
Cost Basis Election Fo	r New Account			
Please indicate which type of Co	ost Basis Reporting you w	vould like DWS to furnish you and the I	RS for all acc	counts.
If nothing is selected, we will de	fault cost basis election t	o Average Cost.		
If nothing is selected as a secon secondary election(s) to First-In,		s) when Specific Lot is selected as prim	ary, we will o	default
Select one:				
Average Cost	☐ Specific Lot (if o	chosen as primary, you must also make	e secondary s	selection, below)
☐ First-In, First-Out (FIFO)	☐ First-In, First-	-Out (FIFO)		
Last-In, First-Out (LIFO)	Last-In, First-	-Out (LIFO)		
☐ High Cost	☐ High Cost			
☐ Low Cost	☐ Low Cost			
Loss/Gain Utilization	☐ Loss/Gain Ut	tilization		
Cost Basis Election (Future Elect	tions)			
Please indicate below if you woo same SSN or Tax ID (listed abov		ions made above for any future new ac	ccount establ	ished under the
Yes, all future taxable DWS for	und accounts under this p	orimary SSN/Tax ID should follow my a	bove Cost Ba	asis Elections.
		ve for future accounts established und d's default method unless another metl		

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Step 4 Registration and required customer identification information (continued)

### **Cumulative Discount**

Reduced sales charges (Class A shares only—does not apply to money market funds)

If you, your spouse/life partner, or minor children/stepchildren, own shares in other DWS funds, you may be eligible for a reduced sales charge on current and future purchases. See the prospectus or talk with your financial advisor for more information.

Please list the qualifying account numbers below.				
Account Number	Account Number			
Account Number	Account Number			
Cumulative Discount Number (if known)				
Step 5 Dividends and capital gains				
You may select how your dividends and capital gains are dist in the same fund account, unless you indicate otherwise belo consider additional options.	ributed. Dividends and capital gains will automatically reinvest www. Refer to the prospectus or contact us if you would like to			
Pay Dividends and Short Term Capital Gains in cash.	☐ Pay Long Term Capital Gains in cash.			
If you select cash option(s) above distributions will be sent	electronically to your bank account if you provide a voided			

check or deposit slip in Step 6. Otherwise, distribution checks will be sent to the account address.

### **Bank Instructions**

Any bank account owner not listed on the new account must provide a signature with a Signature Guarantee in Step 7, if choosing purchase privileges.

Tape your voided check or deposit slip here to transfer money between your bank and your DWS fund account. Your name and address must be preprinted on the check or deposit slip. Please write "VOID" on your check before sending.

John A. Sample 123 Some Street Anywhere, USA 12345	Date	1083
PAY TO THE ORDER OF	Dollars	
ANY BANK, USA		
For		
0123000456 789 12345 0678		
Please indicate the type of account at your financial institut made, checking will be the default). We cannot establish mutual fund checks.  Checking OR Savings  If the bank account registration does not match your DWS required for the DWS fund account owner(s) and all additional control of the default.	banking services from cash managements  S fund account registration, a Medallion	ent, brokerage or
Purchase		
I want the ability to move money by telephone or online voided check on previous page.	e from my bank account to my DWS fur	nd account. Please tape a
Redeem		
I want the ability to move money by telephone or online voided check on previous page.	e from my DWS fund account to my bar	nk account. Please tape a
Wire Redemption		
☐ I authorize the fund or its agents to honor telephone or oth shares. Proceeds are to be wire transferred to my financia		

## Telephone Exchange and Check Redemption

Exhanges and redemptions are permitted by telephone UNLESS you indicate otherwise below:

- ☐ I DO NOT authorize exchanges between DWS funds upon instruction from any authorized person by telephone.
- ☐ I DO NOT authorize redemptions by check to the address on record upon instruction from any authorized person by telephone.

#### Forms Commonly Used With This Document:

Transfer on Death Application: To add beneficiaries to a non retirement account

Non-IRA Electronic Services Form: To establish an automatic investment plan

Redemption Request Form: To sell shares from your account

Checkwriting: To add checkwriting (money market funds only)

Trusted Contact Designation Form: To add or remove a trusted contact on your account

#### Existing and new account owners

Step 7

Signatures

#### By signing this form:

I certify that I have the authority and legal capacity to open this account and to establish and use any related privileges. I understand that by completing this form, I will be investing in shares that I have identified in this application. For each fund, I have received the prospectus, I understand the investment objectives, and agree to the terms and conditions set forth in the prospectus and statement of additional information.

I authorize DWS Service Company and its agents to act on any instructions (including telephone or electronic instructions, as applicable) they reasonably believe to be genuine for services requested and/or received automatically. DWS Service Company will use reasonable security procedures to provide assurance that telephone or electronic instructions are genuine, including verifying the identity of the caller, and will not be responsible for acting on instructions reasonably believed to be genuine.

I understand that it is my responsibility to monitor the activity in my account, including opening all mail received from the fund promptly, and to protect sensitive account information, including my online username and password. The DWS Account Security Program provides further information on protecting my account. I agree to notify DWS Service Company immediately if I suspect someone has gained unauthorized access to my account.

I authorize my bank to honor all entries to my bank account arising in connection with any services I select or receive automatically. The power will continue if I am disabled or incapacitated. I understand that if I choose to invest in my account through the automatic investment plan, my investments will continue regardless of share price levels, and there is no assurance of profit or protection against loss in down markets. I have considered my ability to maintain this plan during such times.

I understand that joint accounts will be established as "joint tenants with rights of survivorship," unless I specify otherwise. If the joint account is established in the state of Louisiana, it will be established as "tenants in common." For an account established as "tenants in common," unless designated otherwise, each owner has an equal share of ownership, all owners' signatures are required for any written instruction, and any account owner is able to act on the full value of the account for any telephone, Internet or mobile services.

I consent to the "householded" delivery of any fund prospectuses, shareholder reports or other documents (except transaction confirmations and account statements) that I must legally receive. This means that I, and any other fund shareholder residing at my address and perceived to be a member of my family, will receive a single prospectus or report at our address. Please note that the "householded" delivery of mail may reduce the amount of mail you receive from us, and it may also help to lower fund expenses.

Please check this box if you do not consent to "he	ouseholding."	Each shareholder at yo	ur address will ı	receive his or her
own prospectus or report.				

I understand that if my account has no activity and/or if no contact is made for certain specified time periods, DWS Service Company may be required to transfer it to the appropriate state under abandoned property laws.

I certify that I have relied on my own judgment or that of my advisor with respect to the suitability of each investment for this account, and acknowledge that DWS has not provided me with a recommendation or with investment, tax or legal advice of any kind.

As the account owner receiving shares transferred as a gift into an existing account, I accept the shares valued at fair market value of the date of gift or the settlement date if the shares should be transferred at a loss.

Please check this box if as the account owner receiving shares transferred as a gift into an existing account, you do not
accept the shares valued at fair market value of the date of gift or the settlement date if the shares should be transferred
at a loss. If you choose not to accept the shares at a loss a new account will be established for these shares.

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### Step 7 | Signatures (continued)

#### I certify under penalties of perjury that:

1) the Social Security or tax identification number provided on this form is correct and may be used for any account opened for me; and 2) unless the box below is checked, I am not subject to backup withholding because a) I am exempt from backup withholding; or b) the Internal Revenue Service (IRS) has not notified me that I am subject to backup withholding as a result of failure to report all interest or dividends; or c) the IRS has notified me that I am no longer subject to backup withholding; and 3) I am a U.S. person (including a U.S. resident alien).

☐ Please check this box only if you are subject to backup withholding. Please include a copy of the notification letter you received from the IRS.

I understand that these Authorizations and Certifications will apply to any DWS fund investment I make now or in the future and that these terms replace the terms contained in any New Account Application I signed previously. The Authorizations and Certifications apply to each person who signs this Change of Account Ownership form.

I understand and consent to DWS' collection, verification, and retention of information (as set forth in this form) that identifies each person who opens an account. I certify that all account information and disclosures made on this form are true and accurate.

Please sign below exactly as your name(s) appears/will appear on the registration of your fund account(s). All account owners must sign. Your signature indicates that you have read, understood, and agreed to the terms stated on this form. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

If you are a current account owner and will continue to be an account owner, you must sign both under "Existing account owners," and "New account owners."

### **Existing Account Owners**

By signing the Change of Account Ownership form, the owner(s) of the account referenced in Step 1 hereby authorizes and approves that all fund shares held in his/her account be transferred to the new owner(s) as set forth herein.

All owners listed in Step 1 of this form must sign here and have their signature guaranteed.

	Affix Medallion Signature Guarantee or Guarantee stamp (a notary seal is not acceptable)
Print Name	
Signature of Existing Account Owner (If acting on behalf of the account owner you must sign in capacity†)	
Date – MM/DD/YYYY	
	Affix Medallion Signature Guarantee or Guarantee stamp (a notary seal is not acceptable)
Print Name	
Signature of Existing Account Owner (if any)	
Data MM/DDAAAA/	

Special note to Medallion Signature Guarantee guarantors: By affixing the Medallion Signature Guarantee, you are verifying the identity of the individuals and entities assigned to this account and are accepting liability for any misrepresentation as it applies to this registration and any accompanying documentation.

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### **New Account Owners**

### Medallion Signature Guarantee Requirements:

- A Medallion Signature Guarantee is NOT required if you bank account matches the DWS fund account registration.
   If the bank account registration does not match your DWS fund account registration, a Medallion Signature Guarantee is required for the DWS fund account owner(s) and all additional bank account owners.

# New Account Owner's Name/Custodian/Authorized Signer

	Affix Medallion Signature Guarantee or Guarantee stamp (a notary seal is not acceptable)
Print Name/Title	
Signature of New Account Owner (If acting on behalf of the account owner you must sign in capacity†)	
Date – MM/DD/YYYY	
Joint Owner/Authorized Signer (if any)	
	Affix Medallion Signature Guarantee or Guarantee stamp (a notary seal is not acceptable)
Print Name/Title	
Signature of New Account Owner (If acting on behalf of the account owner you must sign in capacity†)	
Date – MM/DD/YYYY	

# Additional Joint Owner/Authorized Signer (if any)

	Affix Medallion Signature Guarantee or Guarantee stamp (a notary seal is not acceptable)
Print Name/Title	
Signature of New Account Owner (If acting on behalf of the account owner you must sign in capacity†)	
Date – MM/DD/YYYY	

# Bank Account Owner if Different from DWS Fund Account Owner (if applicable)

	Affix Medallion Signature Guarantee or Guarantee stamp (a notary seal is not acceptable)
Print Name/Title	
Signature of Bank Account Owner	
Date – MM/DD/YYYY	

Special note to Medallion Signature Guarantee guarantors: By affixing the Medallion Signature Guarantee, you are verifying the identity of the individuals and entities assigned to this account and are accepting liability for any misrepresentation as it applies to this registration and any accompanying documentation.

### Medallion Signature Guarantee

A Medallion Signature Guarantee is issued by a bank, savings and loan, trust company, credit union, broker/dealer, or any member or participant of an approved signature guarantee program. Please note that a notary public is not an acceptable guarantor. An officer of the institution will ask for identification to be sure that you are, in fact, the person identified on this form and the person signing it. Once the guarantor has reviewed your request, verified your identity and your authority to act on the account presented to them, they will affix a Medallion Signature Guarantee stamp to your form.

DWS prefers Medallion Signature Guarantee stamps. We must receive an original stamp. If more than one signature is required on this form, we will need separate stamps for each signature. If you are obtaining a non-Medallion Signature Guarantee, please contact us. We may require additional documentation to complete your request.

† If acting on behalf of the account owner, or an entity such as a Trust, a Company, or an Estate, you must sign in the capacity of your title as it relates to this account, account, i.e; Maria Perez, Trustee; Mohammad Patel, President; Keisha Jones, Executor; etc. The institution providing the Medallion Signature Guarantee for these types of accounts will require additional documentation. You may wish to contact the institution to confirm the documentation they require to provide you with a Medallion Signature Guarantee.

Before mailing this application, please check that you have:
Existing Owners:
☐ Provided information on existing ownership.
New Owners:
☐ Selected one account type.
☐ Selected all other options you, as the new owner, wish to have set up and supplied the required information.
Existing and New Owners:
☐ Provided the most recent versions of the supporting documents if applicable.
☐ Signed and, if required, had your signature guaranteed.
☐ Made a copy of all information you are supplying for your records.

Overnight Address:

DWS Service Company P.O. Box 219151

DWS Service Company 430 W. 7th Street Suite 219151 Kansas City, MO 64105-1407

Kansas City, MO 64121-9151

Please mail completed form to:

The brand DWS represents DWS Group GmbH & Co. KGaA and any of its subsidiaries such as DWS Distributors, Inc. which offers investment products or DWS Investment Management Americas, Inc. and RREEF America L.L.C. which offer advisory services.

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